



# Welcome to the ICA Information Session



**The BIG  
Compliance  
Conversation**



**Helen Langton**  
Chief Executive Officer

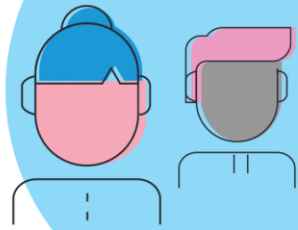
# Objectives of the session

- 1) Who are ICA?
- 2) What is compliance?
- 3) The ICA community
- 4) What qualification shall I do?
- 5) What level is right for me?
- 6) What will the impact be on my career?
- 7) What are my next steps?

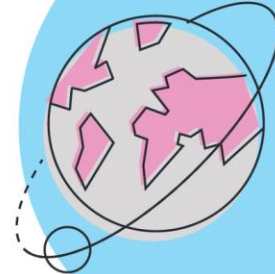
# Why a career in compliance?

- Rapid and constant **change** in the regulatory environment
- The changing nature of the role of both the **compliance function** and the **compliance officer**
- The **focus on behaviour**, e.g. ethics and integrity
- You can make a **real contribution** to your firm
- **Career** structure from entry to board

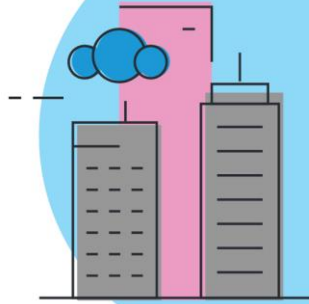
# ICA – A truly global organisation



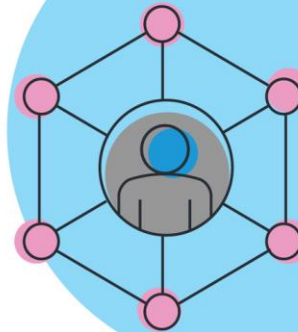
Members  
in **153**  
different  
countries



**+130,000**  
Certifications  
Globally

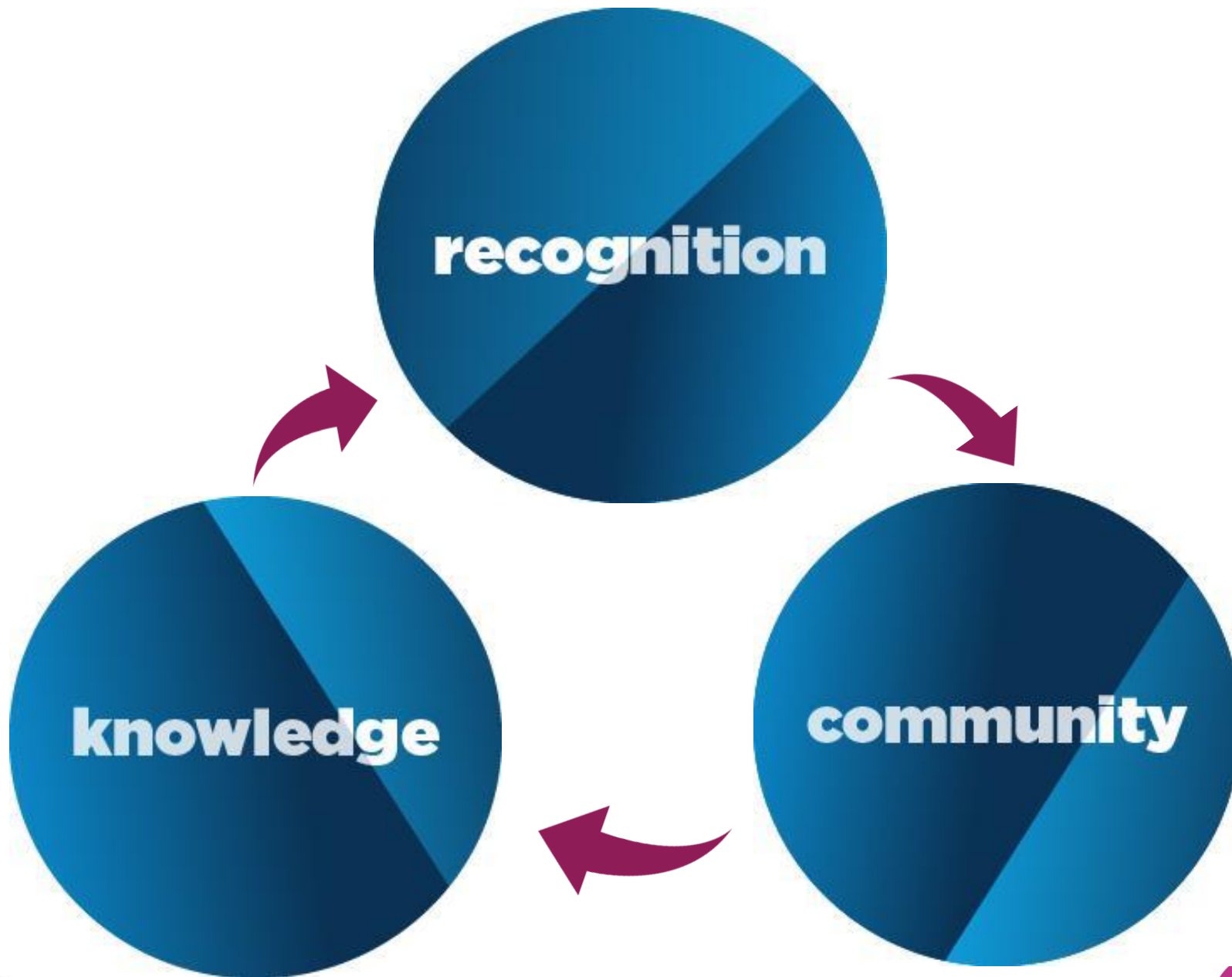


**Offices**  
In the UK, Dubai,  
Singapore,  
Malaysia,  
Hong Kong  
and the US



**Regional  
Partners**

Across the globe  
including Ireland,  
Spain, Russia, Hong  
Kong, Malaysia,  
Australia, Bahamas,  
Singapore, Bahrain,  
Bermuda, Jersey,  
Greece and Cyprus

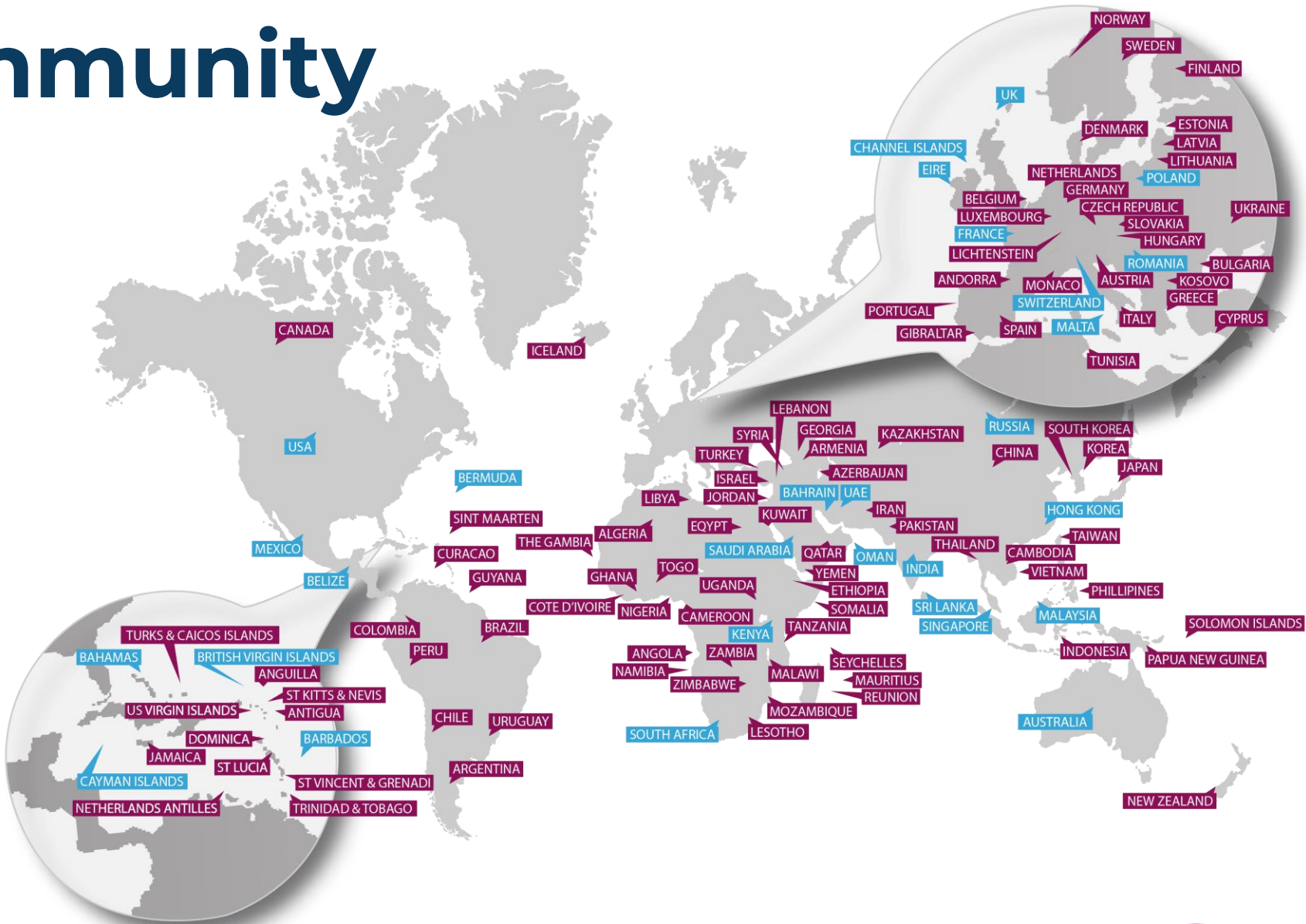


MANCHESTER  
1824

The University of Manchester  
Alliance Manchester Business School

- 20 year partnership
- Quality assurance partners
- Qualifications are awarded in association with AMBS
- Annual audit carried out by AMBS

# ICA community





# Your professional community



# We keep you current



# ICA Bermuda Branch



# The big questions

Which ICA  
qualification (s) shall I  
do and at which level?

How will it help my  
career?

# What shall I study?

- **What's my current role?**
- **What's my aspirational role?**
- **What is my experience, where should I start?**



# Your learning experience

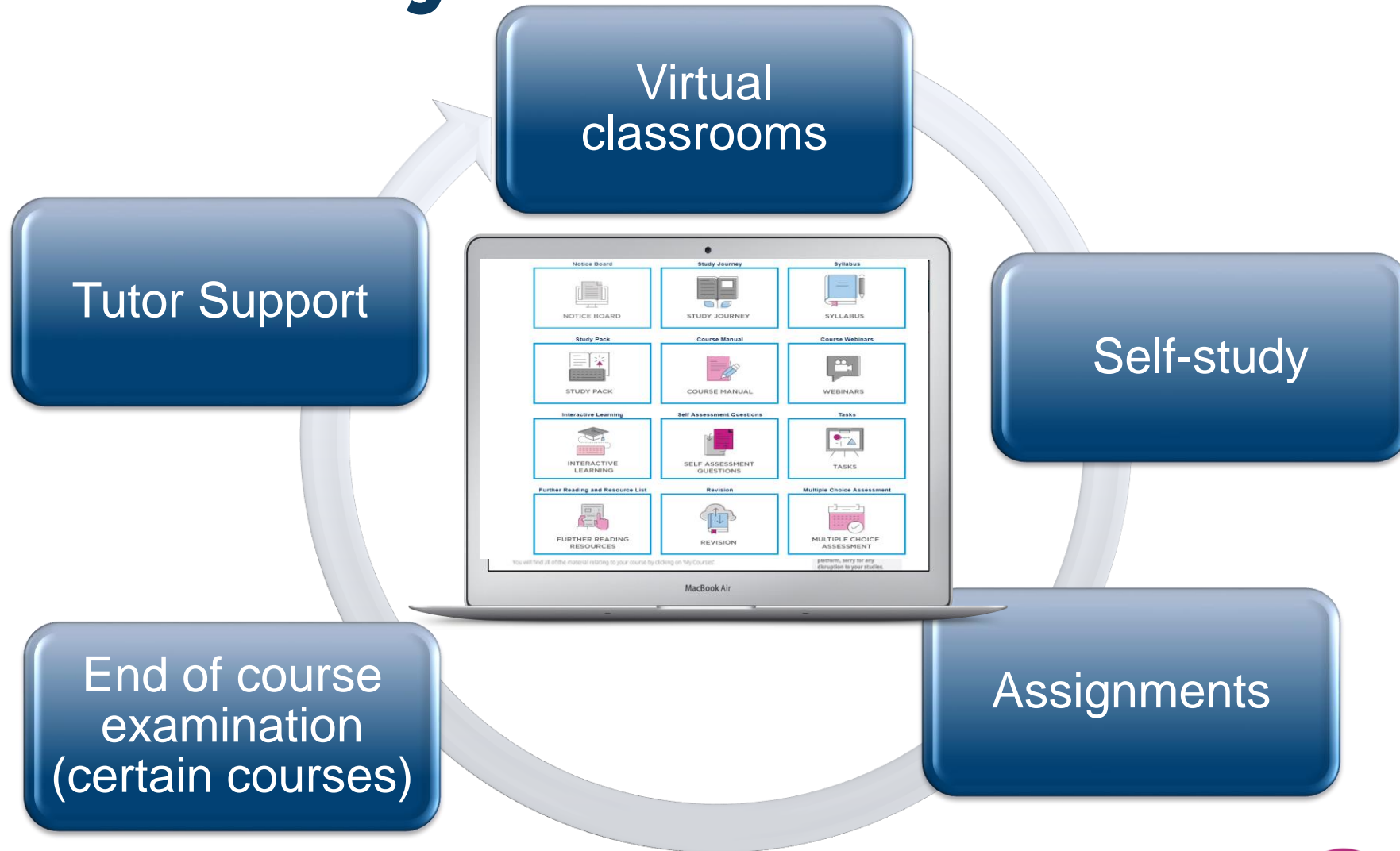
Level 2 Certificate & Specialist Certificates		Level 4 Advanced Certificates	Level 6 Diplomas	Level 7 Postgraduates
Financial Crime Prevention	Compliance	Managing Fraud	Anti Money Laundering	Financial Crime Compliance
Managing Sanctions Risk	Anti Money Laundering	Anti Money Laundering	Financial Crime Prevention	Governance, Risk and Compliance
Financial Crime Risk in Global Banking & Markets	KYC and CDD	Practical Customer Due Diligence	Governance, Risk and Compliance	
Managing Financial Crime in Mobile Financial Services	ML Risk in Private Banking	Managing Sanctions Risk		
Combating Financing of Terrorism	ML Risk in Betting and Gaming	Regulatory Compliance		
Trade Based Money Laundering	ML Risk in Correspondent Banking	Business Compliance		
Conduct Risk	Anti-Corruption			



# Which level is right for me?

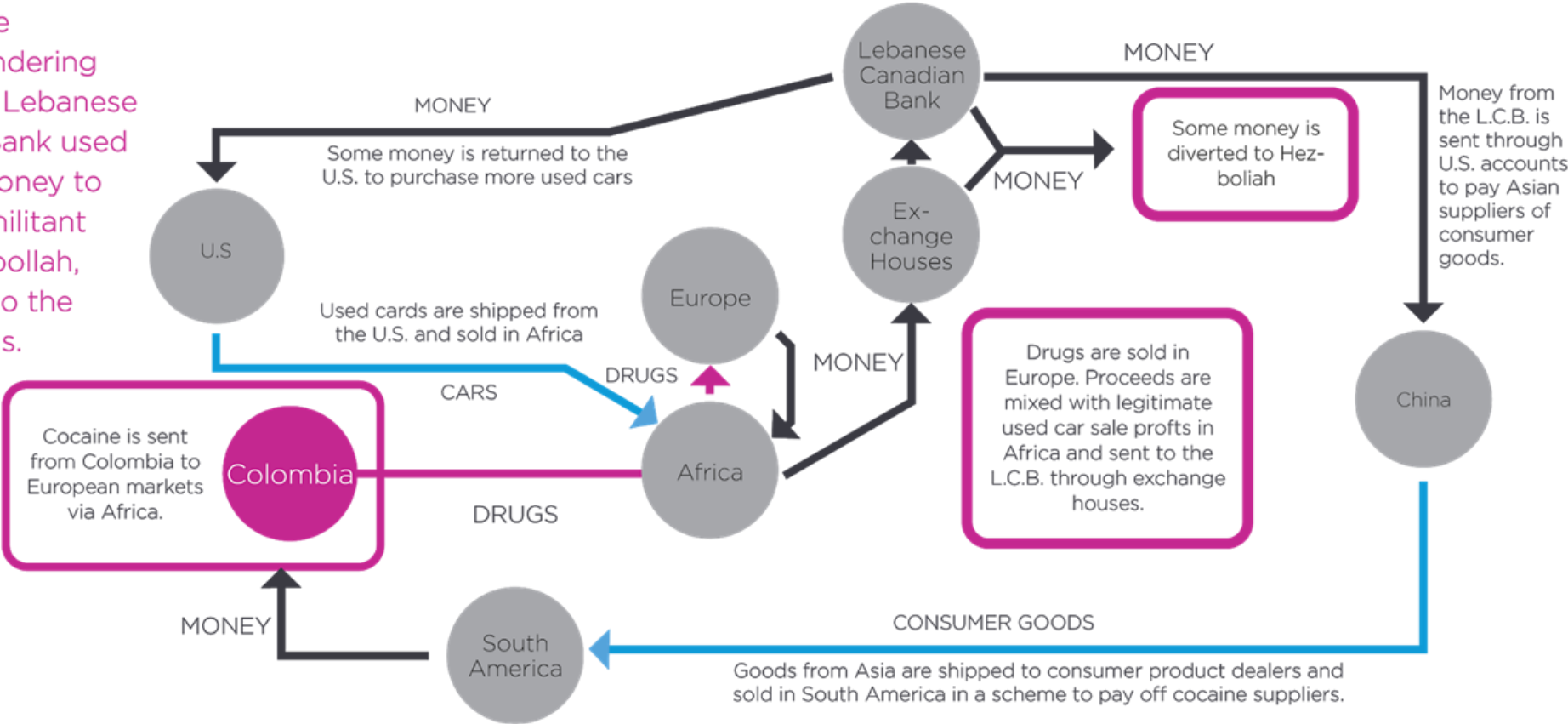
<b>Level 2 Certificate qualification</b>  (3 months)	<b>Level 4 Advanced Certificate qualification</b>  (6 months)	<b>Level 6 Diploma qualification</b>  (9 months)	<b>Level 7 Professional Postgraduate qualification</b>  (6 months)
Online	Two virtual classrooms	Four virtual classrooms	Two residential weekend Workshops (Oxford, UK)
25 multiple-choice questions completed online	Two 2500 word assignments	Three 3500 word assignments	Eight 1000 word masterclass commentaries
	3 hours for CDD examination (exam only)		2-3 hour competency-based oral interview

# How do I study?



# Practical skills

This chart shows the intricate money-laundering system the Lebanese Canadian Bank used to divert money to the Shiite militant group Hezbollah, according to the U.S. Officials.



# Assignment question example

Produce a paper to present to the Board of a regulated firm in a jurisdiction and sector of your choice. The paper should consider how the current economic and political environment, together with increased political and media focus, is currently impacting upon Governance, Risk and Compliance (GRC) issues for your chosen firm. Your answer should be supported by relevant examples and include coverage of, but not be limited to, topics such as the following:

- how the regulatory environment has changed over the last 5 years
- current regulatory developments and hot topics
- known and potential future regulatory developments
- Impact upon the structure, strategy and objectives of the GRC functions in the firm
- current and potential barriers to effective regulatory risk management in the firm
- consequent opportunities and threats to the firm.

# Marking structure

## Approach

Positive approach to marking based on the Alliance Manchester Business School model

## Appeals

- Administrative error

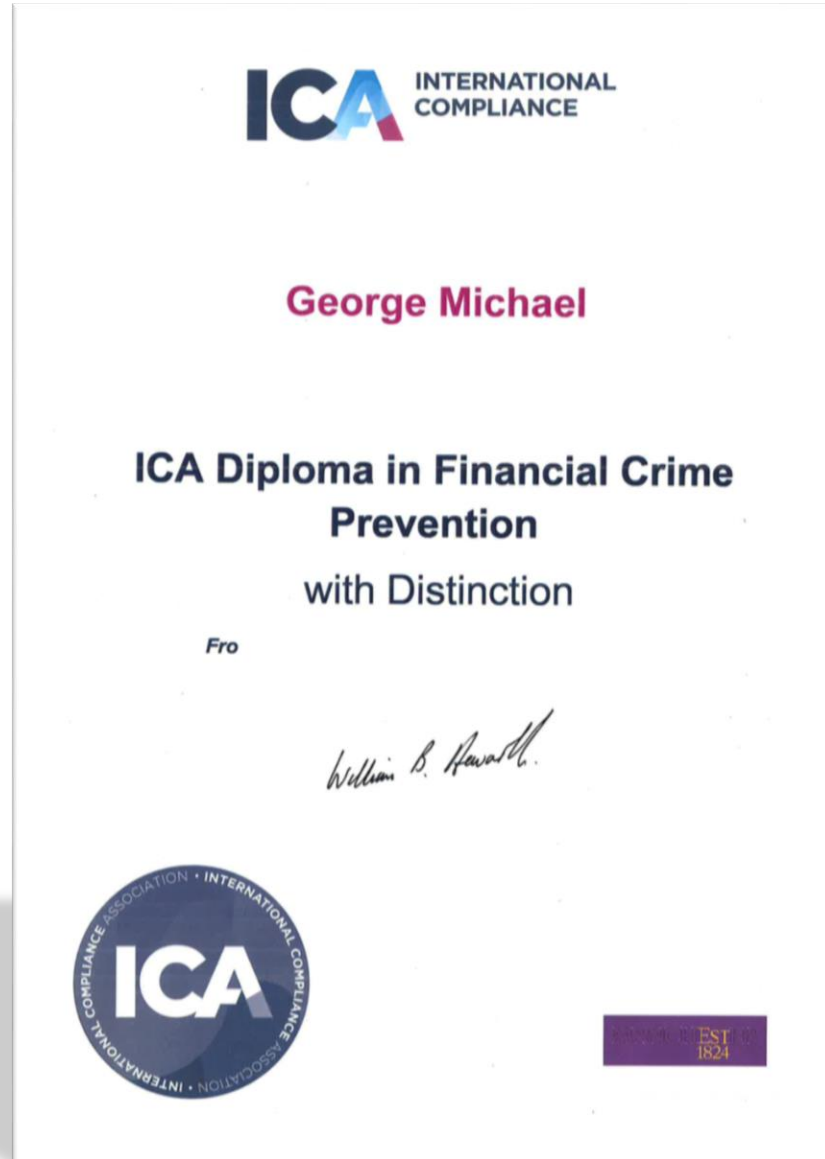
## Marking scheme

70%+	Distinction
60-69%	Merit
50-59%	Pass
40-49%	Marginal fail

## Results

8-10 weeks

# Your award





## Course fees

- PPG Diploma £6,950
- Diploma £3,500
- Advanced Certificate £1,750
- Certificate £595

## Membership fees

- 3 months £30
- 12 months £102



# How to apply

STEP 1

- Enrol with Bermuda College – register with the registrar office – details in your packs

STEP 2

- Apply for financial aid online via the BC website – 50% available

STEP 3

- Apply for ICA membership via ICA website

STEP 4

- Your details sent to ICA by Bermuda College

STEP 5

- ICA will provide you with your login details to the learning platform

# Q&A

Over to you