

Welcome to the ICA Information Session





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Objectives of the session

- 1) Who are ICA?
- 2) What is compliance?
- 3) The ICA community
- 4) What qualification shall I do?
- 5) What level is right for me?
- 6) What will the impact be on my career?
- 7) What are my next steps?





Why a career in compliance?

- Rapid and constant change in the regulatory environment
- The changing nature of the role of both the compliance function and the compliance officer
- The focus on behaviour, e.g. ethics and integrity
- You can make a real contribution to your firm
- Career structure from entry to board



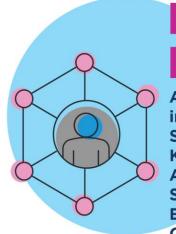


ICA - A truly global organisation







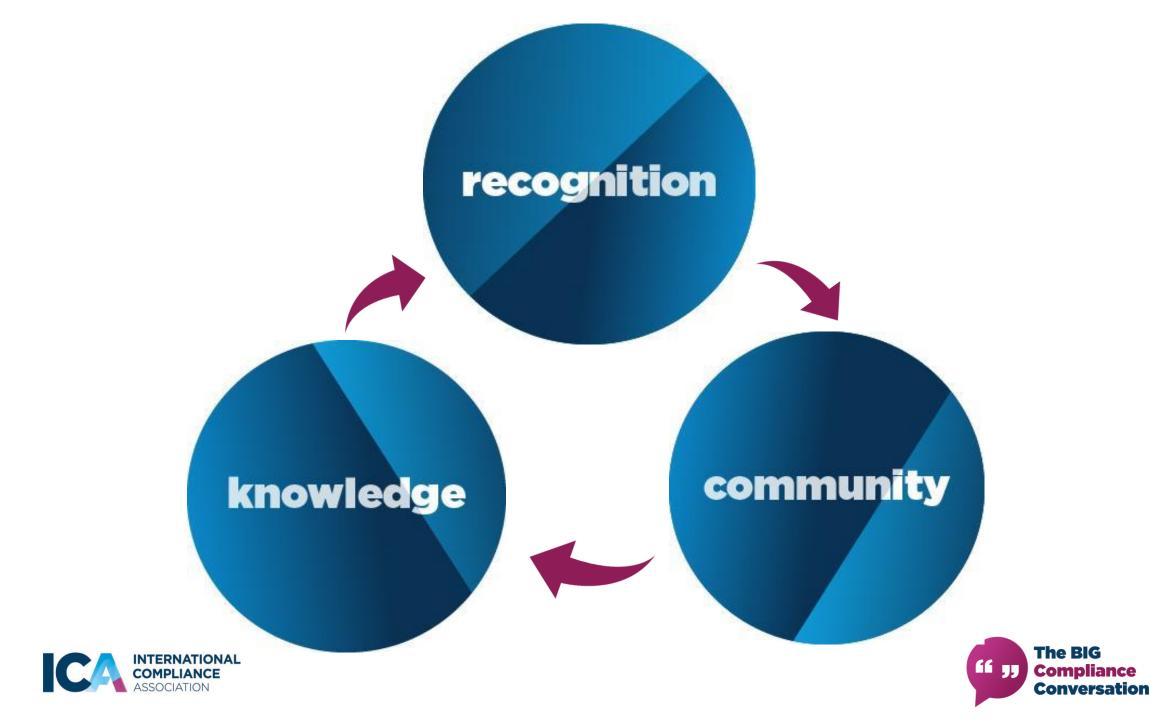


Regional Partners

Across the globe including Ireland, Spain, Russia, Hong Kong, Malaysia, Australia, Bahamas, Singapore, Bahrain, Bermuda, Jersey, Greece and Cyprus









The University of Manchester
Alliance Manchester Business School

- 20 year partnership
- Quality assurance partners
- Qualifications are awarded in association with AMBS
- Annual audit carried out by AMBS





ICA community SWEDEN LATVIA UKRAINE ANDORRA > ICELAND KAZAKHSTAN CHINA JORDAN THAILAND CAMBODIA CURACAO PHILLIPINES CAMEROON SOLOMON ISLANDS BRAZIL COLOMBIA TURKS & CAICOS ISLANDS ANGOLA PAPUA NEW GUINEA ANGUILLA ST KITTS & NEVIS URUGUAY ARGENTINA ST VINCENT & GRENADI TRINIDAD & TOBAGO NEW ZEALAND NETHERLANDS ANTILLES





Your professional community

Achieved a Diploma?
Become a Professional
Member of ICA, MICA

Achieved a Professional Postgraduate Diploma? Become a Fellow Member of ICA, FICA

Certificate or currently studying for an ICA Qualification (Affiliate Member of ICA) Achieved an Advanced Certificate? Associate Member of ICA, AICA

Continuous professional development (CPD)





We keep you current





















ICA Bermuda Branch







The big questions

Which ICA qualification (s) shall I do and at which level?

How will it help my career?





What shall I study?

- What's my current role?
- · What's my aspirational role?
- What is my experience, where should I start?





New Entrant/ operations	Analyst/officer	Manager/ Senior Manager	Senior Manager/ Director
Certificate	Advanced Certificate	Diploma	PPG Dip
Understanding	Doing	Managing	Leading
Affiliate	Associate (AICA)	Prof. Member (MICA)	Fellow (FICA)





Your learning experience





Level 2 Certificate & Specialist Certificates Certificate & Certificates		Advanced	Level 6 Diplomas	Level 7 Postgraduates
Financial Crime Prevention	Compliance	Managing Fraud	Anti Money Laundering	Financial Crime Compliance
Managing Sanctions Risk	Anti Money Laundering	Anti Money Laundering	Financial Crime Prevention	Governance, Risk and Compliance
Financial Crime Risk in Global Banking & Markets	KYC and CDD	Practical Customer Due Diligence	Governance, Risk and Compliance	
Managing Financial Crime in Mobile Financial Services	ML Risk in Private Banking	Managing Sanctions Risk		
Combating Financing of Terrorism	ML Risk in Betting and Gaming	Regulatory Compliance		

Business

Compliance

ML Risk in

Correspondent

Banking

Anti-Corruption

Trade Based Money

Laundering

Conduct Risk

Which level is right for me?

Level 2 Certificate qualification	Level 4 Advanced Certificate qualification	Level 6 Diploma qualification	Level 7 Professional Postgraduate qualification
(3 months)	(6 months)	(9 months)	(6 months)
Online	Two virtual classrooms	Four virtual classrooms	Two residential weekend Workshops (Oxford, UK)
25 multiple-choice questions completed	Two 2500 word	Three 3500 word	Eight 1000 word masterclass

questions completed online

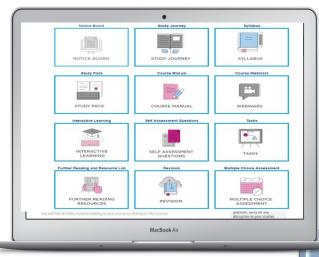
3 hours for CDD examination (exam only)

Three 3500 word assignments

2-3 hour competencybased oral interview How do I study?

Virtual classrooms

Tutor Support



Self-study

End of course examination (certain courses)

Assignments





Practical skills

This chart shows the intricate Lebanese MONEY money-laundering Canadian Money from system the Lebanese Bank MONEY the L.C.B. is Some money is Canadian Bank used sent through Some money is returned to the diverted to Hez-U.S. accounts U.S. to purchase more used cars MONEY to divert money to boliah to pay Asian Exsuppliers of the Shiite militant consumer change group Hezbollah, goods. Houses Europe according to the Used cards are shipped from the U.S. and sold in Africa U.S. Officials. Drugs are sold in MONEY DRUGS 👍 Europe. Proceeds are CARS China mixed with legitimate used car sale profts in Cocaine is sent Africa and sent to the from Colombia to Colombia -Africa L.C.B. through exchange European markets houses. **DRUGS** via Africa. MONEY CONSUMER GOODS South America Goods from Asia are shipped to consumer product dealers and sold in South America in a scheme to pay off cocaine suppliers.





Assignment question example

Produce a paper to present to the Board of a regulated firm in a jurisdiction and sector of your choice. The paper should consider how the current economic and political environment, together with increased political and media focus, is currently impacting upon Governance, Risk and Compliance (GRC) issues for your chosen firm. Your answer should be supported by relevant examples and include coverage of, but not be limited to, topics such as the following:

- how the regulatory environment has changed over the last 5 years
- current regulatory developments and hot topics
- known and potential future regulatory developments
- Impact upon the structure, strategy and objectives of the GRC functions in the firm
- current and potential barriers to effective regulatory risk management in the firm
- consequent opportunities and threats to the firm.





Marking structure

Approach

Positive approach to marking based on the Alliance Manchester Business School model

Appeals

Administrative error

Marking scheme

70%+ Distinction

60-69% Merit

50-59% Pass

40-49% Marginal fail

Results

8-10 weeks





Your award



George Michael

ICA Diploma in Financial Crime Prevention

with Distinction

Fro

William B. Award.













Course fees

PPG Diploma £6,950

Diploma £3,500

Advanced Certificate £1,750

Certificate £595

Membership fees

• 3 months £30



£102



How to apply



 Enrol with Bermuda College – register with the registrar office – details in your packs



 Apply for financial aid online via the BC website – 50% available

STEP 3

Apply for ICA membership via ICA website

STEP 4

Your details sent to ICA by Bermuda College



 ICA will provide you with your login details to the learning platform





Q8A

Over to you



